

# Chapter 3

## New congruences modulo 5 for the number of 2-color partitions

### 3.1 Introduction

In this chapter, we prove Theorem 1.3.1 for  $k \in \{2, 3, 4\}$ , i.e.,

$$p_2(25n + 22) \equiv 0 \pmod{5}, \tag{3.1.1}$$

$$p_3(25n + 21) \equiv 0 \pmod{5}, \tag{3.1.2}$$

and

$$p_4(25n + 20) \equiv 0 \pmod{5}, \tag{3.1.3}$$

In the next section, we present some useful lemmas and in Section 3.3, we prove (3.1.1)–(3.1.3).

The contents of this chapter published in [2].

### 3.2 Preliminaries

We recall the following elementary properties of Ramanujan's theta function  $f(a, b)$  from Entries 29 and 30 of (Berndt [19, pp. 45–46]).

**Lemma 3.2.1.** *We have*

$$f(-a, -b) = f(a^2, b^2)\varphi(ab) - 2af\left(\frac{b}{a}, a^3b\right)\psi(a^2b^2). \quad (3.2.1)$$

*If  $ac = bd$ , then*

$$f(a, b)f(c, d) + f(-a, -b)f(-c, -d) = 2f(ac, bd)f(ad, bc) \quad (3.2.2)$$

*and*

$$f(a, b)f(c, d) - f(-a, -b)f(-c, -d) = 2af\left(\frac{b}{c}, ac^2d\right)f\left(\frac{b}{d}, acd^2\right). \quad (3.2.3)$$

We require the following Jacobi's identity.

**Lemma 3.2.2.** (Berndt [19, p. 39, Entry 24]) *We have*

$$(q; q)_\infty^3 = \sum_{n=0}^{\infty} (-1)^n (2n+1) q^{n(n+1)/2}. \quad (3.2.4)$$

We also require the following 5-dissection of  $\varphi(-q)$ .

**Lemma 3.2.3.** (Berndt [19, p. 39, Entry 24]) *We have*

$$\varphi(-q) = \varphi(-q^{25}) - 2qf(-q^{15}, -q^{35}) + 2q^4f(-q^5, -q^{45}). \quad (3.2.5)$$

In the next four lemmas we state some well-known results on the Rogers- Ramanujan continued fraction  $R(q)$ .

**Lemma 3.2.4.** (Berndt [20, p. 161 and p. 164]) *If  $T(q) := \frac{q^{1/5}}{R(q)} = \frac{f(-q^2, -q^3)}{f(-q, -q^4)}$ , then*

$$T^5(q) - 11q - \frac{q^2}{T^5(q)} = \frac{(q; q)_\infty^6}{(q^5; q^5)_\infty^6} \quad (3.2.6)$$

*and*

$$T(q^5) - q - \frac{q^2}{T(q^5)} = \frac{(q; q)_\infty}{(q^{25}; q^{25})_\infty}. \quad (3.2.7)$$

The following result gives a 5-dissection of  $1/(q; q)_\infty$  in terms of  $T(q)$  which also readily implies (1.3.1).

**Lemma 3.2.5.** (Berndt [20, p. 165, eq. (7.4.14)]) *We have*

$$\begin{aligned} \frac{1}{(q; q)_\infty} &= \frac{(q^{25}; q^{25})_\infty^5}{(q^5; q^5)_\infty^6} \left\{ T^4(q^5) + qT^3(q^5) + 2q^2T^2(q^5) + 3q^3T(q^5) + 5q^4 - \frac{3q^5}{T(q^5)} \right. \\ &\quad \left. + \frac{2q^6}{T^2(q^5)} - \frac{q^7}{T^3(q^5)} + \frac{q^8}{T^4(q^5)} \right\}. \end{aligned} \quad (3.2.8)$$

**Lemma 3.2.6.** (Andrews and Berndt[6, p. 35, Entry 1.8.2]) *If  $k = R(q)R^2(q^2)$  and  $k \leq \sqrt{5} - 2$ , then*

$$\frac{\psi^2(q)}{q\psi^2(q^5)} = \frac{1 + k - k^2}{k}. \quad (3.2.9)$$

We end this section by noting the following two beautiful results found by Gugg [37].

**Lemma 3.2.7.** *If  $u = R(q)$  and  $v = R(q^3)$ , then*

$$\frac{v}{u^3} + \frac{u^3}{v} = 9q^2 \frac{(q^{15}; q^{15})_\infty^3 (q; q)_\infty}{(q^5; q^5)_\infty^5 (q^3; q^3)_\infty} + 2 \quad (3.2.10)$$

and

$$\frac{1}{uv^3} + uv^3 = \frac{(q^5; q^5)_\infty^5 (q^3; q^3)_\infty}{q^2 (q^{15}; q^{15})_\infty^5 (q; q)_\infty} - 2. \quad (3.2.11)$$

### 3.3 Proof of Theorem 1.3.1 for $k \in \{2, 3, 4\}$

*Proof of Theorem 1.3.1 for  $k = 2$ .* We note that

$$\begin{aligned} \sum_{n=0}^{\infty} p_2(n)q^n &= \frac{1}{(q; q)_\infty (q^2; q^2)_\infty} \\ &= \frac{(q; q)_\infty}{(q; q)_\infty^3 (-q; q)_\infty} \\ &= \frac{(q; q)_\infty^2 (q; q)_\infty}{(q; q)_\infty^5 (-q; q)_\infty}. \end{aligned} \quad (3.3.1)$$

By the binomial theorem, it is easy to see that

$$(q; q)_\infty^5 \equiv (q^5; q^5)_\infty \pmod{5}. \quad (3.3.2)$$

Using the above and (1.8.3) in (3.3.1), we have

$$\sum_{n=0}^{\infty} p_2(n)q^n \equiv \frac{(q; q)_\infty^2}{(q^5; q^5)_\infty} \varphi(-q) \pmod{5}. \quad (3.3.3)$$

Employing (3.2.7) and (3.2.5) in the above, and then extracting the terms involving  $q^{5n+2}$  from both sides, we obtain

$$\begin{aligned} \sum_{n=0}^{\infty} p_2(5n+2)q^n &\equiv \frac{(q^5; q^5)_\infty^2}{(q; q)_\infty} \left\{ 4 \frac{f(-q^2, -q^3)}{f(-q, -q^4)} f(-q^3, -q^7) \right. \\ &\quad \left. + 4q \frac{f(-q, -q^4)}{f(-q^2, -q^3)} f(-q, -q^9) - \varphi(-q^5) \right\} \\ &\equiv \frac{(q^5; q^5)_\infty^2}{(q; q)_\infty} \left\{ 4 \frac{f^2(-q^2, -q^3) f(-q^3, -q^7) + q f^2(-q, -q^4) f(-q, -q^9)}{f(-q, -q^4) f(-q^2, -q^3)} \right. \\ &\quad \left. - \varphi(-q^5) \right\} \pmod{5}. \end{aligned}$$

Since by (1.8.2),  $f(-q, -q^4) f(-q^2, -q^3) = (q; q)_\infty (q^5; q^5)_\infty$ , the above can be written as

$$\sum_{n=0}^{\infty} p_2(5n+2)q^n \equiv A(q) + B(q) \pmod{5}, \quad (3.3.4)$$

where

$$A(q) = 4 \frac{(q^5; q^5)_\infty}{(q; q)_\infty^2} \{ f^2(-q^2, -q^3) f(-q^3, -q^7) + q f^2(-q, -q^4) f(-q, -q^9) \} \quad (3.3.5)$$

and

$$B(q) = -\frac{(q^5; q^5)_\infty^2}{(q; q)_\infty} \varphi(-q^5).$$

If we can show that the coefficients of  $q^{5n+4}$  on the right side of (3.3.4) are multiples of 5, then by equating the coefficients of  $q^{5n+4}$  from both sides of (3.3.4) we shall arrive at the desired congruence (1.3.7). By (1.3.2), it is clear that the coefficients of  $q^{5n+4}$  in  $B(q)$  are multiples of 5. Therefore, it is sufficient to show that the

coefficients of  $q^{5n+4}$  in  $A(q)$  are also multiples of 5. To that end, first we simplify  $A(q)$  in terms of  $q$ -products.

Setting, in turn,  $a = q, b = q^4$  and  $a = q^2, b = q^3$  in (3.2.1), we have

$$f^2(-q, -q^4) = f(q^2, q^8)\varphi(q^5) - 2qf(q^3, q^7)\psi(q^{10}) \quad (3.3.6)$$

and

$$f^2(-q^2, -q^3) = f(q^4, q^6)\varphi(q^5) - 2q^2f(q, q^9)\psi(q^{10}). \quad (3.3.7)$$

Multiplying (3.3.6) by  $qf(-q, -q^9)$  and (3.3.7) by  $f(-q^3, -q^7)$ , and then adding the resulting identities, we find that

$$\begin{aligned} & f^2(-q^2, -q^3)f(-q^3, -q^7) + qf^2(-q, -q^4)f(-q, -q^9) \\ &= \varphi(q^5) \{ f(q^4, q^6)f(-q^3, -q^7) + qf(q^2, q^8)f(-q, -q^9) \} \\ & \quad - 2q^2\psi(q^{10}) \{ f(-q^3, -q^7)f(q, q^9) + f(q^3, q^7)f(-q, -q^9) \}. \end{aligned} \quad (3.3.8)$$

Now, setting  $a = q, b = -q^4, c = q^2, d = q^3$  in (3.2.2) and (3.2.3), and then adding, we have

$$f(q^4, q^6)f(-q^3, -q^7) + qf(q^2, q^8)f(-q, -q^9) = f(q, -q^4)f(q^2, -q^3) = f(q)f(q^5),$$

where (1.8.2) was also used in the last equality.

On the other hand, setting  $a = q, b = q^9, c = -q^3, d = -q^7$  in (3.2.2), we have

$$\begin{aligned} f(-q^3, -q^7)f(q, q^9) + f(q^3, q^7)f(-q, -q^9) &= 2f(-q^4, -q^{16})f(-q^8, -q^{12}) \\ &= 2(q^4; q^4)_\infty(q^{20}; q^{20})_\infty, \end{aligned}$$

where the last equality is obtained from (1.8.2).

Employing the last two identities in (3.3.8), we arrive at

$$\begin{aligned} & f^2(-q^2, -q^3)f(-q^3, -q^7) + qf^2(-q, -q^4)f(-q, -q^9) \\ &= f(q)f(q^5)\varphi(q^5) - 4q^2(q^4; q^4)_\infty(q^{20}; q^{20})_\infty\psi(q^{10}). \end{aligned}$$

Using the above in (3.3.5) and then employing (3.3.2), we find that

$$\begin{aligned} A(q) &= 4 (q^5; q^5)_\infty f(q^5) \varphi(q^5) \frac{f(q)}{(q; q)_\infty^2} - 16q^2 (q^5; q^5)_\infty (q^{20}; q^{20})_\infty \psi(q^{10}) \frac{(q^4; q^4)_\infty}{(q; q)_\infty^2} \\ &\equiv 4f(q^5) \varphi(q^5) f(q) (q; q)_\infty^3 - q^2 (q^{20}; q^{20})_\infty \psi(q^{10}) (q^4; q^4)_\infty (q; q)_\infty^3 \pmod{5}. \end{aligned}$$

Thus, to show that the coefficients of  $q^{5n+4}$  in  $A(q)$  are multiples of 5, it is sufficient to check that the coefficients of  $q^{5n+4}$  in  $f(q)(q; q)_\infty^3$  as well as  $q^2(q^4; q^4)_\infty (q; q)_\infty^3$  are multiples of 5. We complete the proof of (1.3.7) by verifying this fact in the following.

First, from (2.2.2) and (3.2.4), we note that

$$\begin{aligned} f(q)(q; q)_\infty^3 &= (-q; -q)_\infty (q; q)_\infty^3 \\ &= \sum_{j=-\infty}^{\infty} (-1)^{j+j(3j+1)/2} q^{j(3j+1)/2} \sum_{r=0}^{\infty} (-1)^r (2r+1) q^{r(r+1)/2} \\ &= \sum_{j=-\infty}^{\infty} \sum_{r=0}^{\infty} (-1)^{3j(j+1)/2+r} (2r+1) q^{j(3j+1)/2+r(r+1)/2}. \end{aligned}$$

As in [20, p. 32], we observe that

$$2(j+1)^2 + (2r+1)^2 = 8 \left\{ 1 + \frac{j(3j+1)}{2} + \frac{r(r+1)}{2} \right\} - 10j^2 - 5.$$

Hence,  $\frac{j(3j+1)}{2} + \frac{r(r+1)}{2}$  is of the form  $5n+4$  if and only if

$$2(j+1)^2 + (2r+1)^2 \equiv 0 \pmod{5}.$$

But,  $2(j+1)^2 \equiv 0, 2$  or  $3 \pmod{5}$  and  $(2r+1)^2 \equiv 0, 1$  or  $4 \pmod{5}$ . Therefore, the above congruence is true if and only if  $2(j+1)^2 \equiv 0 \equiv (2r+1)^2 \pmod{5}$ ; in particular,  $2r+1 \equiv 0 \pmod{5}$ . Hence, the coefficients of  $q^{5n+4}$  in  $f(q)(q; q)_\infty^3$  are multiples of 5.

Next, from (2.2.2) and (3.2.4) again, we have

$$\begin{aligned} q^2(q^4; q^4)_\infty (q; q)_\infty^3 &= q^2 \sum_{j=-\infty}^{\infty} (-1)^j q^{2j(3j+1)} \sum_{r=0}^{\infty} (-1)^r (2r+1) q^{r(r+1)/2} \\ &= \sum_{j=-\infty}^{\infty} \sum_{r=0}^{\infty} (-1)^{j+r} (2r+1) q^{2+2j(3j+1)+r(r+1)/2}. \end{aligned} \quad (3.3.9)$$

Observe that

$$8(j+1)^2 + (2r+1)^2 = 8 \left\{ 1 + 2 + 2j(3j+1) + \frac{r(r+1)}{2} \right\} - 40j^2 - 15.$$

Thus, the exponents  $2 + 2j(3j+1) + \frac{r(r+1)}{2}$  on the right side of (3.3.9) are of the form  $5n+4$  if and only if

$$8(j+1)^2 + (2r+1)^2 \equiv 0 \pmod{5}.$$

But,  $8(j+1)^2 \equiv 0, 2$  or  $3 \pmod{5}$  and  $(2r+1)^2 \equiv 0, 1$  or  $4 \pmod{5}$ . Therefore, the only values for which the above congruence is satisfied are  $8(j+1)^2 \equiv (2r+1)^2 \equiv 0 \pmod{5}$ . Hence,  $2r+1 \equiv 0 \pmod{5}$ , and consequently from (3.3.9), the coefficients of  $q^{5n+4}$  in  $q^2(q^4; q^4)_\infty(q; q)_\infty^3$  are multiples of 5.  $\square$

*Proof of Theorem 1.3.1 for  $k=3$ .* We have

$$\sum_{n=0}^{\infty} p_3(n)q^n = \frac{1}{(q; q)_\infty(q^3; q^3)_\infty}.$$

Using (3.2.8) in the above and then extracting the terms involving  $q^{5n+1}$  from both sides and also with the aid of (3.3.2), we find that

$$\begin{aligned} \sum_{n=0}^{\infty} p_3(5n+1)q^n &= \frac{(q^5; q^5)_\infty^5 (q^{15}; q^{15})_\infty^5}{(q; q)_\infty^6 (q^3; q^3)_\infty^6} \left[ 2qx_1^4x_3^2 - \frac{q^4x_1^4}{x_3^3} + x_1^3x_3^4 - \frac{3q^3x_1^3}{x_3} + 6q^2x_1^2x_3 \right. \\ &\quad + \frac{2q^5x_1^2}{x_3^4} + 3qx_1x_3^3 + \frac{6q^4x_1}{x_3^2} - \frac{6q^2x_3^2}{x_1} + \frac{3q^5}{x_1x_3^3} + \frac{2qx_3^4}{x_1^2} - \frac{6q^4}{x_1^2x_3} \\ &\quad \left. - \frac{3q^3x_3}{x_1^3} - \frac{q^6}{x_1^3x_3^4} + \frac{q^2x_3^3}{x_1^4} + \frac{2q^5}{x_1^4x_3^2} \right] \\ &\equiv \frac{(q^5; q^5)_\infty^4 (q^{15}; q^{15})_\infty^4}{(q; q)_\infty (q^3; q^3)_\infty} \left[ 2qA(q) - 3qB(q) + 6q^2C(q) \right. \\ &\quad \left. + D(q) \right] \pmod{5}, \end{aligned} \tag{3.3.10}$$

where  $x_1 := T(q)$  and  $x_3 = T(q^3)$ ,

$$\begin{aligned} A(q) &= x_1^4x_3^2 + \frac{q^4x_1^2}{x_3^4} + \frac{x_3^4}{x_1^2} + \frac{q^4}{x_1^4x_3^2}, \\ B(q) &= \frac{q^2x_1^3}{x_3} - x_1x_3^3 - \frac{q^4}{x_1x_3^3} + \frac{q^2x_3}{x_1^3}, \\ C(q) &= x_1^2x_3 + \frac{q^2x_1}{x_3^2} - \frac{x_3^2}{x_1} - \frac{q^2}{x_1^2x_3}, \end{aligned}$$

and

$$D(q) = x_1^3 x_3^4 - \frac{q^6}{x_1^3 x_3^4} + \frac{q^2 x_3^3}{x_1^4} - \frac{q^4 x_1^4}{x_3^3}.$$

To prove Theorem 1.3.1 for  $k = 3$ , i.e., to prove that  $p_3(25n + 21) \equiv 0 \pmod{5}$ , we need to show that the coefficients of  $q^{5n+4}$  on the right side of (3.3.10) are multiples of 5. To that end, we simplify  $A(q)$ ,  $B(q)$ ,  $C(q)$ , and  $D(q)$  in terms of  $(q^s; q^s)_\infty$  with  $s \geq 1$ .

Multiplying Gugg's identities (3.2.10) and (3.2.11), we have

$$\frac{1}{u^4 v^2} + \frac{v^4}{u^2} + \frac{u^2}{v^4} + u^4 v^2 = 5 - 18q^2 \frac{(q^{15}; q^{15})_\infty^3 (q; q)_\infty}{(q^5; q^5)_\infty^5 (q^3; q^3)_\infty} + 2 \frac{(q^5; q^5)_\infty^5 (q^3; q^3)_\infty}{q^2 (q^{15}; q^{15})_\infty^5 (q; q)_\infty}.$$

As  $u = R(q) = \frac{q^{1/5}}{x_1}$  and  $v = R(q^3) = \frac{q^{3/5}}{x_3}$ , the above can be rewritten as

$$\frac{x_1^4 x_3^2}{q^2} + \frac{q^2 x_1^2}{x_3^4} + \frac{x_3^4}{q^2 x_1^2} + \frac{q^2}{x_1^4 x_3^2} = Z(q),$$

where

$$Z(q) = 5 - 18q^2 \frac{(q^{15}; q^{15})_\infty^3 (q; q)_\infty}{(q^5; q^5)_\infty^5 (q^3; q^3)_\infty} + 2 \frac{(q^5; q^5)_\infty^5 (q^3; q^3)_\infty}{q^2 (q^{15}; q^{15})_\infty^5 (q; q)_\infty}.$$

Thus,

$$A(q) = q^2 Z(q). \tag{3.3.11}$$

Again from (3.2.10) and (3.2.11), we have

$$\left\{ \frac{v}{u^3} + \frac{u^3}{v} - 2 \right\} \left\{ \frac{1}{uv^3} + uv^3 + 2 \right\} = 9,$$

which is equivalent to

$$2 \left\{ -\frac{x_1 x_3^3}{q^2} - \frac{q^2}{x_1 x_3^3} + \frac{x_1^3}{x_3} + \frac{x_3}{x_1^3} \right\} = 13 - Z(q).$$

Therefore,

$$B(q) = \frac{13q^2 - q^2 Z(q)}{2}. \tag{3.3.12}$$



Now,

$$C^2(q) = \left\{ x_1^4 x_3^2 + \frac{q^4 x_1^2}{x_3^4} + \frac{x_3^4}{x_1^2} + \frac{q^4}{x_1^4 x_3^2} \right\} - 4q^2 + 2 \left\{ \frac{q^2 x_1^3}{x_3} - x_1 x_3^3 - \frac{q^4}{x_1 x_3^3} + \frac{q^2 x_3}{x_1^3} \right\}$$

With the help of (3.3.11) and (3.3.12), we see that

$$C^2(q) = q^2 Z(q) - 4q^2 + 13q^2 - q^2 Z(q) = 9q^2.$$

Thus,

$$C(q) = \pm 3q. \quad (3.3.13)$$

Next, (3.3.12) and (3.3.13) together give

$$\left\{ \frac{q^2 x_1^3}{x_3} - x_1 x_3^3 - \frac{q^4}{x_1 x_3^3} + \frac{q^2 x_3}{x_1^3} \right\} \left\{ x_1^2 x_3 + \frac{q^2 x_1}{x_3^2} - \frac{x_3^2}{x_1} - \frac{q^2}{x_1^2 x_3} \right\} = \pm 3q^3 \frac{13 - Z(q)}{2},$$

which can be simplified as

$$-D(q) - 2q^2 C(q) = q^2 \left\{ \frac{q^2}{x_1^5} - x_1^5 \right\} + \frac{q^6}{x_3^5} - x_3^5 \pm 3q^3 \frac{13 - Z(q)}{2}.$$

Employing (3.2.6) and (3.3.13) in the above, we have

$$\begin{aligned} D(q) \pm 6q^3 &= q^2 \left\{ \frac{(q; q)_\infty^6}{(q^5; q^5)_\infty^6} + 11q \right\} + \frac{(q^3; q^3)_\infty^6}{(q^{15}; q^{15})_\infty^6} + 11q^3 \\ &\mp 3q^3 \frac{13 - Z(q)}{2}, \end{aligned}$$

which is equivalent to

$$D(q) = \mp \frac{51}{2} q^3 \pm \frac{3}{2} q^3 Z(q) + q^2 \frac{(q; q)_\infty^6}{(q^5; q^5)_\infty^6} + \frac{(q^3; q^3)_\infty^6}{(q^{15}; q^{15})_\infty^6} + 22q^3. \quad (3.3.14)$$

Employing (3.3.11) – (3.3.14) in (3.3.10), we arrive at

$$\begin{aligned} \sum_{n=0}^{\infty} a_3(5n+1)q^n &\equiv \frac{(q^5; q^5)_\infty^4 (q^{15}; q^{15})_\infty^4}{(q; q)_\infty (q^3; q^3)_\infty} \left[ 2q^3 Z(q) - \frac{3}{2} q^3 (13 - Z(q)) \pm 18q^3 \right. \\ &\quad \left. \mp \frac{51}{2} q^3 \pm \frac{3}{2} q^3 Z(q) + q^2 \frac{(q; q)_\infty^6}{(q^5; q^5)_\infty^6} + \frac{(q^3; q^3)_\infty^6}{(q^{15}; q^{15})_\infty^6} + 22q^3 \right] \\ &\equiv \frac{(q^5; q^5)_\infty^4 (q^{15}; q^{15})_\infty^4}{(q; q)_\infty (q^3; q^3)_\infty} \left[ 2q^3 Z(q) + \frac{3}{2} q^3 Z(q) (1 \pm 1) + q^2 \frac{(q; q)_\infty^6}{(q^5; q^5)_\infty^6} \right. \\ &\quad \left. + \frac{(q^3; q^3)_\infty^6}{(q^{15}; q^{15})_\infty^6} + \frac{5}{2} q^3 \mp \frac{51}{2} q^3 \pm 18q^3 \right] \pmod{5}. \quad (3.3.15) \end{aligned}$$

Now we separately handle the two cases of ambiguity in the above congruence arising from  $C(q) = \pm 3q$ .

First consider  $C(q) = 3q$ . Then (3.3.15) becomes

$$\begin{aligned} \sum_{n=0}^{\infty} a_3(5n+1)q^n &\equiv \frac{(q^5; q^5)_{\infty}^4 (q^{15}; q^{15})_{\infty}^4}{(q; q)_{\infty} (q^3; q^3)_{\infty}} \left[ 5q^3 Z(q) + q^2 \frac{(q; q)_{\infty}^6}{(q^5; q^5)_{\infty}^6} + \frac{(q^3; q^3)_{\infty}^6}{(q^{15}; q^{15})_{\infty}^6} - 5q^3 \right] \\ &\equiv q^2 \frac{(q^{15}; q^{15})_{\infty}^4}{(q^5; q^5)_{\infty} (q^3; q^3)_{\infty}} + \frac{(q^5; q^5)_{\infty}^4}{(q^{15}; q^{15})_{\infty} (q; q)_{\infty}} \pmod{5}. \end{aligned}$$

Employing (3.2.8) on the right side and then comparing the coefficients of  $q^{5n+4}$  from both sides of the above, we arrive at the desired congruence  $p_3(25n+21) \equiv 0 \pmod{5}$ .

Next, consider the other case, i.e.,  $C(q) = -3q$ . Then (3.3.15) reduces to

$$\begin{aligned} \sum_{n=0}^{\infty} a_3(5n+1)q^n &\equiv \frac{(q^5; q^5)_{\infty}^4 (q^{15}; q^{15})_{\infty}^4}{(q; q)_{\infty} (q^3; q^3)_{\infty}} \left[ 2q^3 Z(q) + q^2 \frac{(q; q)_{\infty}^6}{(q^5; q^5)_{\infty}^6} \right. \\ &\quad \left. + \frac{(q^3; q^3)_{\infty}^6}{(q^{15}; q^{15})_{\infty}^6} + 10q^3 \right] \\ &\equiv \frac{(q^5; q^5)_{\infty}^4 (q^{15}; q^{15})_{\infty}^4}{(q; q)_{\infty} (q^3; q^3)_{\infty}} \left[ 2q^3 \left\{ 5 - 18q^2 \frac{(q^{15}; q^{15})_{\infty}^5 (q; q)_{\infty}}{(q^5; q^5)_{\infty}^5 (q^3; q^3)_{\infty}} \right. \right. \\ &\quad \left. \left. + 2 \frac{(q^5; q^5)_{\infty}^5 (q^3; q^3)_{\infty}}{q^2 (q^{15}; q^{15})_{\infty}^5 (q; q)_{\infty}} \right\} + q^2 \frac{(q; q)_{\infty}^6}{(q^5; q^5)_{\infty}^6} + \frac{(q^3; q^3)_{\infty}^6}{(q^{15}; q^{15})_{\infty}^6} \right] \\ &\equiv 4q^5 \frac{(q^{15}; q^{15})_{\infty}^9}{(q^5; q^5)_{\infty} (q^3; q^3)_{\infty}^2} + 4q \frac{(q^5; q^5)_{\infty}^9}{(q^{15}; q^{15})_{\infty} (q; q)_{\infty}^2} \\ &\quad + q^2 \frac{(q^{15}; q^{15})_{\infty}^4}{(q^5; q^5)_{\infty} (q^3; q^3)_{\infty}} + \frac{(q^5; q^5)_{\infty}^4}{(q^{15}; q^{15})_{\infty} (q; q)_{\infty}} \\ &\equiv 4q^5 \frac{(q^{15}; q^{15})_{\infty}^8}{(q^5; q^5)_{\infty}} (q^3; q^3)_{\infty}^3 + 4q \frac{(q^5; q^5)_{\infty}^8}{(q^{15}; q^{15})_{\infty}} (q; q)_{\infty}^3 \\ &\quad + q^2 \frac{(q^{15}; q^{15})_{\infty}^4}{(q^5; q^5)_{\infty} (q^3; q^3)_{\infty}} + \frac{(q^5; q^5)_{\infty}^4}{(q^{15}; q^{15})_{\infty} (q; q)_{\infty}} \pmod{5}. \end{aligned}$$

But, it has already been noted that the coefficients of  $q^{5n+4}$  in the last two terms on the right side of the above congruence are multiples of 5. Therefore, to derive  $p_3(25n+21) \equiv 0 \pmod{5}$  from the above congruence it is enough to show that the coefficients of  $q^{5n+4}$  in  $(q^3; q^3)_{\infty}^3$  and  $q(q; q)_{\infty}^3$  are also multiples of 5.

Replacing  $q$  by  $q^3$  in (3.2.4), we have

$$(q^3; q^3)_{\infty}^3 = \sum_{r=0}^{\infty} (-1)^r (2r+1) q^{3r(r+1)/2}. \quad (3.3.16)$$

We observe that if the exponents of  $q$  in the above sum are of the form  $5n + 4$ , then

$$\frac{3r(r+1)}{2} \equiv 4 \pmod{5},$$

which is equivalent to

$$(2r+1)^2 \equiv 0 \pmod{5},$$

and hence  $2r+1 \equiv 0 \pmod{5}$ . Therefore, by (3.3.16), the coefficients of  $q^{5n+4}$  in  $(q^3; q^3)_\infty^3$  are multiples of 5.

Similarly, we note from (3.2.4) that

$$q(q; q)_\infty^3 = \sum_{r=0}^{\infty} (-1)^r (2r+1) q^{1+r(r+1)/2}. \quad (3.3.17)$$

Observe that

$$1 + \frac{r(r+1)}{2} \equiv 4 \pmod{5}$$

is equivalent to  $(2r+1)^2 \equiv 0 \pmod{5}$ . So,  $2r+1 \equiv 0 \pmod{5}$ . Therefore, we see from (3.3.17) that the coefficients of  $q^{5n+4}$  in  $q(q; q)_\infty^3$  are also multiples of 5. This completes the proof.  $\square$

*Proof of Theorem 1.3.1 for  $k = 4$ .* We have

$$\sum_{n=0}^{\infty} p_4(n) q^n = \frac{1}{(q; q)_\infty (q^4; q^4)_\infty}.$$

Replacing  $q$  by  $-q$  in the above and then noting that  $(-q; -q)_\infty = \frac{(q^2; q^2)_\infty^3}{(q; q)_\infty (q^4; q^4)_\infty}$ , we see that

$$\sum_{n=0}^{\infty} (-1)^n p_4(n) q^n = \frac{(q; q)_\infty}{(q^2; q^2)_\infty^3}.$$

With the aid of (3.3.2), the above implies

$$\sum_{n=0}^{\infty} (-1)^n p_4(n) q^n \equiv \frac{(q; q)_\infty (q^2; q^2)_\infty^2}{(q^{10}; q^{10})_\infty} \pmod{5}.$$

Employing (3.2.7) in the above and then extracting the terms involving  $q^{5n}$  from both sides, we find that

$$\sum_{n=0}^{\infty} (-1)^n p_4(5n) q^n \equiv \frac{(q^5; q^5)_{\infty} (q^{10}; q^{10})_{\infty}^2}{(q^2; q^2)_{\infty}} \left\{ T(q) T^2(q^2) + q - \frac{q^2}{T(q) T^2(q^2)} \right\} \pmod{5}.$$

Since  $T(q) = \frac{q^{1/5}}{R(q)}$ , we employ (3.2.9) in the above to arrive at

$$\sum_{n=0}^{\infty} (-1)^n p_4(5n) q^n \equiv \frac{(q^5; q^5)_{\infty} (q^{10}; q^{10})_{\infty}^2}{(q^2; q^2)_{\infty}} \frac{\psi^2(q)}{\psi^2(q^5)} \pmod{5}.$$

Employing (1.8.1) in the above and then using (3.3.2), we obtain

$$\begin{aligned} \sum_{n=0}^{\infty} (-1)^n p_4(5n) q^n &\equiv \frac{(q^5; q^5)_{\infty} (q^{10}; q^{10})_{\infty}^2}{(q^2; q^2)_{\infty}} \frac{(q^2; q^2)_{\infty}^4}{(q; q)_{\infty}^2 \psi^2(q^5)} \\ &\equiv \frac{(q^{10}; q^{10})_{\infty}^2}{\psi^2(q^5)} (q; q)_{\infty}^3 (q^2; q^2)_{\infty}^3 \pmod{5}. \end{aligned}$$

To complete the proof, i.e., to prove (1.3.13), it is now sufficient to show that the coefficients of  $q^{5n+4}$  in  $(q; q)_{\infty}^3 (q^2; q^2)_{\infty}^3$  are multiples of 5.

From (3.2.4), we have

$$(q; q)_{\infty}^3 (q^2; q^2)_{\infty}^3 = \sum_{r=0}^{\infty} \sum_{s=0}^{\infty} (-1)^{r+s} (2r+1)(2s+1) q^{r(r+1)/2+s(s+1)}.$$

If the exponents of  $q$  in the above sum are of the form  $5n+4$ , then

$$\frac{r(r+1)}{2} + s(s+1) \equiv 4 \pmod{5},$$

which is clearly equivalent to

$$(2r+1)^2 + 2(2s+1)^2 \equiv 0 \pmod{5}.$$

But  $(2r+1)^2 \equiv 0, 1$  or  $4 \pmod{5}$  and  $2(2s+1)^2 \equiv 0, 2$  or  $3 \pmod{5}$ . Therefore, the above congruence is true if and only if  $(2r+1)^2 \equiv 0 \equiv 2(2s+1)^2 \pmod{5}$ , i.e.,  $(2r+1) \equiv 0 \equiv 2(2s+1) \pmod{5}$ . Hence, the coefficients of  $q^{5n+4}$  in  $(q; q)_{\infty}^3 (q^2; q^2)_{\infty}^3$  are multiples of 5, which is what we desired to show.  $\square$